

## PERIODIC JITTER CHARACTERIZATION USING PSEUDO-RANDOM SAMPLING

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### Background of the Invention

High speed signals in communication systems are often corrupted by signal timing instabilities, typically referred to as signal jitter. Signal jitter can include various components, such as random jitter, data dependent jitter, and periodic jitter. The periodic jitter can be characterized by determining the frequency of the periodic jitter, which is  
10 useful for identifying the source of the periodic jitter.

Sampling systems, such as equivalent-time sampling oscilloscopes, are well-suited for characterizing high speed signals that have repetitive bit patterns. Highly stable time bases within the sampling systems also make the sampling systems suitable for characterizing periodic jitter. However, the sampling rates of the sampling systems are  
15 typically lower than the bit rates of the high speed signals and the frequencies of the periodic jitter that may be present on the signals. This under-sampling results in aliasing, which impairs the ability of the sampling system to characterize periodic jitter. Particularly, this aliasing makes it difficult for the sampling system to distinguish between actual signal components of the periodic jitter and aliased signal components that result  
20 from the under-sampling. Accordingly, there is a need for a scheme for characterizing periodic jitter that distinguishes actual signal components of periodic jitter from the aliased signal components of the periodic jitter.

### Summary of the Invention

A system and method according to the embodiments of the present invention characterize jitter of an applied signal. The characterization includes acquiring a set of pseudo-randomly timed samples at a designated position on the signal, assigning a jitter value to each of the pseudo-randomly timed samples in the acquired set, and selecting a frequency from an array of frequencies based on a correlation of the assigned jitter values with the frequencies in the array. The periodic jitter associated with the signal is designated to have the frequency within the array of frequencies that has the highest correlation to the assigned jitter values.

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### Brief Description of the Drawings

Figure 1 shows a sampling system for characterizing periodic jitter of repetitive signals according to embodiments of the present invention.

Figure 2 shows a method for characterizing periodic jitter according to embodiments of the present invention.

Figure 3 shows a detailed view of an alternative embodiment of the method of Figure 2.

Figures 4A-4F show exemplary waveforms at various stages in the characterization of periodic jitter according to embodiments of the present invention.

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### Detailed Description of the Embodiments

Figure 1 shows a sampling system 10 suitable for characterizing periodic jitter of a signal S1 according to embodiments of the present invention. The signal S1 is typically a high speed data signal, or other signal, having an associated bit rate R1 and pattern length

P1. While the signal S1 is either repetitive, or semi-repetitive with one or more sequences that repeat with each pattern length P1, the signal S1 is hereinafter referred to as “repetitive signal S1”. A source 12, typically a communication system, network node, instrument, device or other element, provides the repetitive signal S1 to a sampler 14 within the  
5 sampling system 10. A pattern trigger T1, typically provided by the source 12 or derived from the repetitive signal S1, is applied to a trigger input TRIG of the sampler 14, providing a timing reference for the acquisition of samples by the sampler 14.

The sampler 14 is an equivalent-time sampling oscilloscope, signal digitizer, analog-to-digital converter or other suitable sample acquisition system. The sampler 14  
10 has a sampling rate  $R_S$  that is typically lower than the bit rate  $R_1$  of the repetitive signal S1 and the frequencies of the periodic jitter that may be present on the repetitive signal S1. For example, when the sampler 14 is an AGILENT TECHNOLOGIES, INC. model 86100 DCA, a typical sampling rate  $R_S$  is 40 kHz, whereas the bit rate  $R_1$  of a typical repetitive signal S1 may be as high as 10 Gbits/second and the periodic jitter may have  
15 frequencies as high as 100 MHz. The sampling system 10 includes a controller 16 that initiates sample acquisitions according to the pattern trigger T1 and processes acquired samples to characterize the periodic jitter present on the repetitive signal S1.

Figure 2 shows a method 20 for characterizing periodic jitter, suitably implemented with the sampling system 10. The method 20 includes establishing an array F  
20 of frequencies  $f$  (step 22), acquiring a set  $S_r$  of pseudo-randomly timed samples at a designated position 17 in the repetitive signal S1 (step 24), assigning a jitter value to each of the samples in the acquired set  $S_r$  (step 26) and selecting a frequency  $f_j$  from the array F of frequencies  $f$  based on correlation of the assigned jitter values with frequencies  $f$  in the array F (step 28). The periodic jitter associated with the repetitive signal S1 is designated

to have the frequency  $f_j$ , which is the frequency within the array  $F$  of frequencies  $f$  that has the highest correlation.

According to one embodiment of the present invention, the array  $F$  of frequencies  $f$  in step 22 includes frequencies identified as suspect, for example, frequencies of interference signals or interference sources, oscillation frequencies of components within the source 12 providing the repetitive signal  $S1$ , or other identified frequencies of periodic jitter imposed on the repetitive signal  $S1$ . In an alternative embodiment of the present invention, the array  $F$  of frequencies  $f$  includes the frequency  $f_{PEAK}$  of a signal peak  $S_{PEAK}$  in a spectrum  $SPER$  (shown in Figure 4A) resulting from uniform periodic sampling at a designated position 19 of the repetitive signal  $S1$  at a sampling rate  $R_S$  that is lower than the bit rate  $R1$  of the repetitive signal  $S1$ , and frequencies  $f$  that are offset from the frequency  $f_{PEAK}$  by integer multiples  $n$  of the sampling rate  $R_S$ . Thus, in this embodiment, the array  $F$  includes the frequencies  $\{f_{PEAK} \pm n R_S\}$ , where the integer multiple  $n$  has integer values such that  $0 \leq n \leq R1/(2R_S)$ .

Figure 3 shows exemplary steps 22a-22e for establishing the array  $F$  of frequencies  $f$  in step 22 in the embodiment where the array  $F$  includes the set of frequencies  $\{f_{PEAK} \pm n R_x\}$  based on the signal peak  $S_{PEAK}$  in the spectrum  $SPER$ . Steps 22a and 22b comprise characterizing the relationship between amplitude and time on a designated amplitude transition 13 of the repetitive signal  $S1$ . Typically, the amplitude transition 13 is a rising or falling edge transition between logic states encoded in the bit stream of the repetitive signal  $S1$ . However, the amplitude transition 13 is alternatively any suitable feature of the repetitive signal  $S1$  exhibiting an amplitude change versus time that repeats with the pattern length  $P1$ .

In step 22a, the designated amplitude transition 13 is characterized. This characterization includes, for example, acquiring samples  $\{S_1 \dots S_N\}$  at various times  $\{t_1 \dots t_N\}$  along a repeating edge transition in the repetitive signal S1 according to the pattern trigger T1, using equivalent-time sampling techniques. Figure 4B shows an example of samples  $\{S_1 \dots S_N\}$  acquired along a rising edge transition.

In step 22b, a mapping between amplitudes  $\{A_1 \dots A_N\}$  of the acquired samples  $\{S_1 \dots S_N\}$  and the corresponding times  $\{t_1 \dots t_N\}$  of the acquired samples  $\{S_1 \dots S_N\}$  is established. Typically, this mapping involves a linear function relating the amplitudes  $\{A_1 \dots A_N\}$  and times  $\{t_1 \dots t_N\}$ , a polynomial relating the amplitudes  $\{A_1 \dots A_N\}$  and times  $\{t_1 \dots t_N\}$ , or a look-up table relating the amplitudes  $\{A_1 \dots A_N\}$  and times  $\{t_1 \dots t_N\}$ . However, any number of suitable techniques are alternatively used to establish this mapping between the amplitudes  $\{A_1 \dots A_N\}$  and times  $\{t_1 \dots t_N\}$  of the acquired samples  $\{S_1 \dots S_N\}$ .

In step 22c, a set  $S_U$  of samples  $\{S_{U1} \dots S_{UX}\}$  is acquired at a designated position 19 on the amplitude transition 13 characterized in step 22a. Consistent with the example above, the designated position 19 is the midpoint of the rising edge transition shown in Figure 4B. The designated position 19 is at a nominal time position  $t_{UNOM}$  that has a corresponding nominal amplitude  $A_{UNOM}$ . Timing instabilities of the repetitive signal S1, including periodic jitter, result in the amplitudes  $\{A_{U1} \dots A_{UX}\}$  of acquired samples  $\{S_{U1} \dots S_{UX}\}$  deviating from the nominal amplitude  $A_{UNOM}$ , as shown in Figures 4C-4D. Figure 4C is an equivalent-time representation of the repetitive signal S1 showing repeating occurrences of the amplitude transition 13 on which the designated position 19 is located.

While the timing instabilities vary the timing of the repetitive signal S1, the highly stable time base of the sampler 14 within the sampling system 10 enables the acquired

samples  $\{S_{U1} \dots S_{UX}\}$  within the set  $S_U$  to be periodically acquired at times  $\{tu_1 \dots tu_X\}$  spaced by precisely defined, uniform time intervals  $t_U$ . The time intervals  $t_U$  between the times  $\{tu_1 \dots tu_X\}$  are each equal to a fixed integer multiple  $M$  of the pattern length  $P1$  associated with the repetitive signal  $S1$ . Thus, samples  $\{S_{U1} \dots S_{UX}\}$  within the set  $S_U$  are  
5 acquired every  $M$ th repetition of the pattern length  $P1$  as shown in the representation of the acquired samples  $\{S_{U1} \dots S_{UX}\}$  of Figure 4D.

In step 22d, a corresponding jitter value is assigned to each of the samples  $\{S_{U1} \dots S_{UX}\}$  in the set  $S_U$  of periodically acquired samples in step 22c, resulting in a set  $J_U$  of jitter values. This assignment includes determining for the samples  $\{S_{U1} \dots S_{UX}\}$  in the set  $S_U$ ,  
10 the deviations  $\{D_{U1} \dots D_{UX}\}$  of the amplitudes  $\{A_{U1} \dots A_{UX}\}$  of each of the samples  $\{S_{U1} \dots S_{UX}\}$  from the nominal amplitude  $A_{UNOM}$ . The mapping of amplitudes  $\{A_1 \dots A_N\}$  and times  $\{t_1 \dots t_N\}$  established in step 22b is then used to convert the determined amplitude deviations  $\{D_{U1} \dots D_{UX}\}$  to corresponding timing deviations. The resulting timing deviations comprise the set  $J_U$  of jitter values. When a linear mapping is established in  
15 step 22b, the set  $J_U$  of jitter values is obtained by dividing the amplitude deviations  $\{D_{U1} \dots D_{UX}\}$  by the slope of the linear function relating amplitudes  $\{A_1 \dots A_N\}$  and times  $\{t_1 \dots t_N\}$ . However, when a polynomial mapping is established in step 22b, the set  $J_U$  of jitter values is obtained by evaluating the polynomial for each of the amplitude deviations  $\{D_{U1} \dots D_{UX}\}$  from the nominal amplitude  $A_{UNOM}$ . When the mapping in step 22b is a look-  
20 up table, the set  $J_U$  of jitter values is assigned according to the look-up table, typically using interpolation to accommodate deviations from the nominal amplitude  $A_{UNOM}$  that fall between values in the look-up table.

In step 22e, the set  $J_U$  of jitter values resulting from step 22d is transformed to the corresponding spectrum  $SPER$  using a Discrete Fourier Transform or other suitable

transform between the time domain and the frequency domain. An exemplary spectrum SPER based on the set  $S_U$  of samples  $\{S_{U1} \dots S_{UX}\}$  is shown in Figure 4A. From this spectrum SPER, the array  $F$  of frequencies  $f$  is established for the embodiment of the present invention in which the array  $F$  includes the frequency  $f_{PEAK}$  of an identified signal peak  $S_{PEAK}$  within the spectrum SPER and frequencies offset from the frequency  $f_{PEAK}$  of the signal peak  $S_{PEAK}$  by the integer multiples  $n$  of the sampling rate  $R_S$  at which the samples  $\{S_{U1} \dots S_{UX}\}$  in step 22c are periodically acquired. While an array  $F$  is shown associated with one of the signal peaks  $S_{PEAK}$  in the spectrum SPER, a separate array  $F$  can be established based on other signal peaks identified in the spectrum.

Once the array  $F$  is established in step 22 via steps 22a-22e or other means according to the above-recited embodiments of the present invention, the remaining steps 24-28 of the method 20 are executed. In step 24, the set  $S_r$  of pseudo-randomly timed samples is acquired at the designated position 17 on a designated amplitude transition of the repetitive signal  $S1$  as shown in Figure 4E. In the example where the array  $F$  of frequencies  $f$  is established according to steps 22a-22e, the designated position 17 can be timed by the sampler 14 to lie on the amplitude transition 13 characterized in steps 22a-22b so that the relationship between amplitudes  $\{A_1 \dots A_N\}$  and times  $\{t_1 \dots t_N\}$  of the acquired samples  $\{S_1 \dots S_N\}$  established in steps 22a and 22b can be used in the assignment of jitter values in step 26. When the designated position 17 lies on this amplitude transition 13, periodic jitter associated with the repetitive signal  $S1$  can also distinguished from data dependent jitter associated with the repetitive signal  $S1$ .

Figure 4E is an equivalent-time representation of the repetitive signal  $S1$  showing repeating occurrences of the amplitude transition on which the designated position 17 is located. In the exemplary waveform represented in Figure 4E, the designated position 17

is shown on the midpoint of a rising edge transition. The designated position 17 is at a nominal time position  $t_{\text{NOM}}$  on this amplitude transition that has a corresponding nominal amplitude  $A_{\text{RNOM}}$ . However, timing instabilities of the repetitive signal S1, including periodic jitter, result in the amplitudes  $\{A_{\text{R1}} \dots A_{\text{RK}}\}$  of the acquired samples  $\{S_{\text{R1}} \dots S_{\text{RK}}\}$  within the set  $S_r$  deviating from the nominal amplitude  $A_{\text{RNOM}}$ , as shown in Figures 4E-4F. While the timing instabilities vary the timing of the repetitive signal S1, the highly stable time base of the sampler 14 within the sampling system 10 enables the acquired samples  $\{S_{\text{R1}} \dots S_{\text{RK}}\}$  within the set  $S_r$  to be acquired at precisely defined times  $t_k$ .

The samples  $\{S_{\text{R1}} \dots S_{\text{RK}}\}$  in the set  $S_r$  are timed according to the relationship of equation 1, where  $t_k$  represents the timing of the  $k$ th acquired sample,  $L$  is an integer,  $r_k$  is a random integer associated with the  $k$ th acquired sample, and where  $P1$  is the pattern length  $P1$  associated with the repetitive signal S1 and  $R1$  is the bit rate  $R1$  associated with the repetitive signal S1, as previously designated.

$$t_{k+1} = t_k + \left( \frac{P1}{R1} \right) (L + r_k) \quad (1)$$

Equation 1 shows that successive samples  $t_k, t_{k+1}$  within the set  $S_r$  are acquired at time intervals  $\tau_r$  that are pseudo-random integer multiples  $L+r_k$  of the ratio of the pattern length  $P1$  to the bit rate  $R1$ .

In step 26 of the method 20, a jitter value  $Jitter(k)$  is assigned to each of the samples  $\{S_{\text{R1}} \dots S_{\text{RK}}\}$  in the set  $S_r$ , where  $k$  is an integer such that  $0 \leq k \leq K$ , to provide the corresponding set  $J_r$  of jitter values. This assignment includes determining for the samples  $\{S_{\text{R1}} \dots S_{\text{RK}}\}$  in the set  $S_r$ , deviations  $\{D_{\text{R1}} \dots D_{\text{RK}}\}$  of the amplitudes  $\{A_{\text{R1}} \dots A_{\text{RK}}\}$  of the samples from the nominal amplitude  $A_{\text{RNOM}}$  (shown in Figure 4F) and includes converting



the determined amplitude deviations  $\{D_{R1} \dots D_{RK}\}$  to corresponding timing deviations. The resulting timing deviations comprise the set  $J_r$  of jitter values  $Jitter(k)$ .

This assignment is based on the relationship between amplitude and time on the amplitude transition of the repetitive signal S1 upon which the designated position 17 is positioned, and is determined analogously to the assignment of jitter values in step 22d to the periodically timed samples acquired in step 22c, with the exception that here, the samples  $\{S_{R1} \dots S_{RK}\}$  are acquired at the non-uniform time intervals  $\tau_r$  determined by equation 1. When the designated position 17 coincides with the amplitude transition 13 on which the mapping of amplitudes  $\{A_1 \dots A_N\}$  and times  $\{t_1 \dots t_N\}$  of step 22b is established, this mapping can be used in assigning the jitter values to the set  $S_r$  of samples  $\{S_{R1} \dots S_{RK}\}$ . For example, when a linear mapping is established in step 22b, the set  $J_r$  of jitter values is obtained by dividing the amplitude deviations  $\{D_{R1} \dots D_{RK}\}$  by the slope of the linear function relating amplitudes  $\{A_1 \dots A_N\}$  and times  $\{t_1 \dots t_N\}$  on the characterized amplitude transition 13. When a polynomial mapping is established in step 22b, the set  $J_r$  of jitter values is obtained by evaluating the polynomial for each of the deviations  $\{D_{R1} \dots D_{RK}\}$  of the amplitudes  $\{A_{R1} \dots A_{RK}\}$  from the nominal amplitude  $A_{RNOM}$ . When the mapping in step 22b is a look-up table, the set  $J_r$  of jitter values is assigned according to the look-up table, typically using interpolation to accommodate amplitude deviations  $\{D_{R1} \dots D_{RK}\}$  from the nominal amplitude  $A_{RNOM}$  that fall between values in the look-up table as needed.

However, when the designated position 17 does not coincide with the amplitude transition 13 on which the mapping between amplitudes  $\{A_1 \dots A_N\}$  and times  $\{t_1 \dots t_N\}$  is established in steps 22a-22b, a separate mapping between amplitude and time can be developed for the amplitude transition that contains the designated position 17 in a manner

However, when the designated position 17 does not coincide with the amplitude transition 13 on which the mapping between amplitudes  $\{A_1 \dots A_N\}$  and times  $\{t_1 \dots t_N\}$  is established in steps 22a-22b, a separate mapping between amplitude and time can be developed for the amplitude transition that contains the designated position 17 in a manner similar to steps 22a and 22b. This mapping can then be used to convert the amplitude deviations  $\{\Delta_{R1} \dots \Delta_{RK}\}$  to the timing deviations that comprise the set  $\mathbf{J}_r$  of jitter values.

In step 28 of the method 20, a frequency is selected from the array  $\mathbf{F}$  of frequencies  $f$  based on a correlation of the assigned jitter values  $Jitter(k)$  in the set  $\mathbf{J}_r$  with frequencies  $f$  in the array  $\mathbf{F}$ . An exemplary correlation is shown in equation 2, where  $K$  is the number of acquired samples  $\{S_{R1} \dots S_{RK}\}$  in the set  $\mathbf{S}_r$ , and where  $f$  represents the frequencies in the array  $\mathbf{F}$ . The periodic jitter associated with the repetitive signal S1 is designated to have the frequency  $f_j$ , which is the frequency within the array  $\mathbf{F}$  of frequencies  $f$  that has the highest correlation  $R(f)$  to the assigned jitter values  $Jitter(k)$  in the set  $\mathbf{J}_r$ .

$$R(f) = \left| \frac{1}{K} \sum_k Jitter(k) \cdot e^{-j2\pi \cdot t_k f} \right| \quad (2)$$

When a linear function relates amplitudes and times on the amplitude transition that contains the designated position 17, the amplitudes  $\{A_{R1} \dots A_{RK}\}$  of the samples  $\{S_{R1} \dots S_{RK}\}$  and the jitter values  $Jitter(k)$  in the set  $\mathbf{J}_r$  of jitter values are related by a constant. Based on this relationship, in one embodiment of the present invention, the assignment of jitter values to the samples  $\{S_{R1} \dots S_{RK}\}$  in step 26 comprises determining the amplitudes  $\{A_{R1} \dots A_{RK}\}$  of the acquired samples  $\{S_{R1} \dots S_{RK}\}$ , and the selection of the frequency  $f_j$  from the array  $\mathbf{F}$  of frequencies  $f$  based on a correlation of the assigned jitter

frequencies  $f$  that has the highest correlation to the amplitudes of the acquired samples  $\{S_{R1} \dots S_{RK}\}$ .

While the embodiments of the present invention have been illustrated in detail, it should be apparent that modifications and adaptations to these embodiments may occur to  
5 one skilled in the art without departing from the scope of the present invention as set forth in the following claims.